

Breaking Down the BELA Data Governance Survey:

What Do the Results Tell Us About Emerging Best Practices?

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Discussion Topics

- **Data Governance** - What it Covers;
Who Manages It
- **Data Governance Reporting**
- **Data Classification and Risk Assessments**
- **Questions**

Ethisphere: Advancing Business Integrity for Competitive Advantage



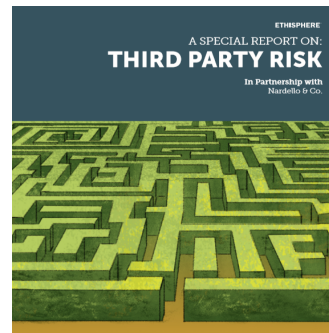
Convening Senior Leaders

- Network of 275+ company members
- Local peer-to-peer programs; annual Global Ethics Summit® and events in the Americas, Europe, South Asia and Asia Pacific



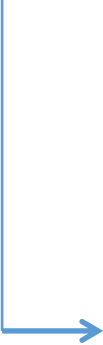
Codifying Best Practices

- Actionable data, insights:
 - World's Most Ethical Companies®
 - Corporate culture data: > 4 million employees
- Centers of Excellence – company contributions



Mapping to Top Frameworks

- Capability building
- Maturity-based assessments
- Benchmarking, improvement plans

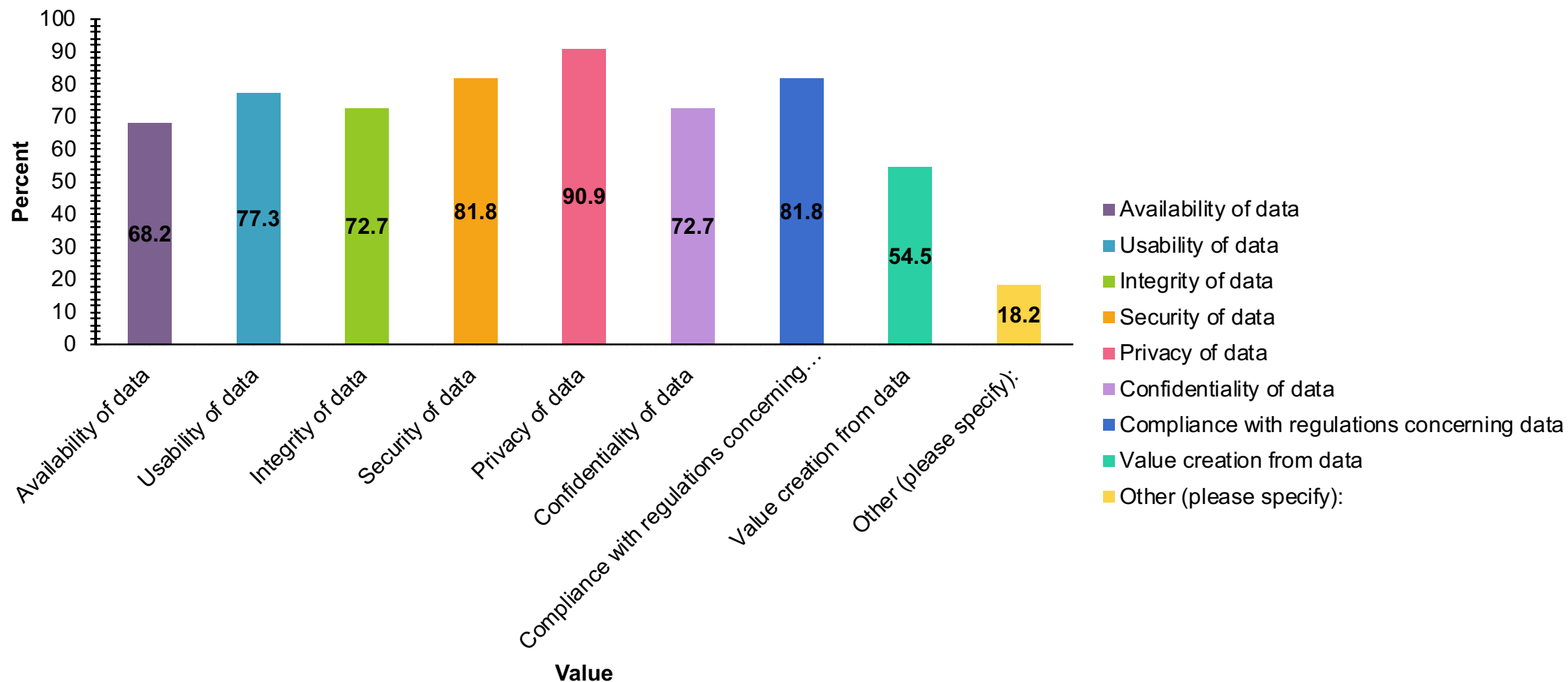
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- Ethics and Compliance
 - Ethical Culture
 - Anti-Corruption
 - Cybersecurity
 - Trade Secret & IP Protection



Data Governance: What it Covers; Who Manages It

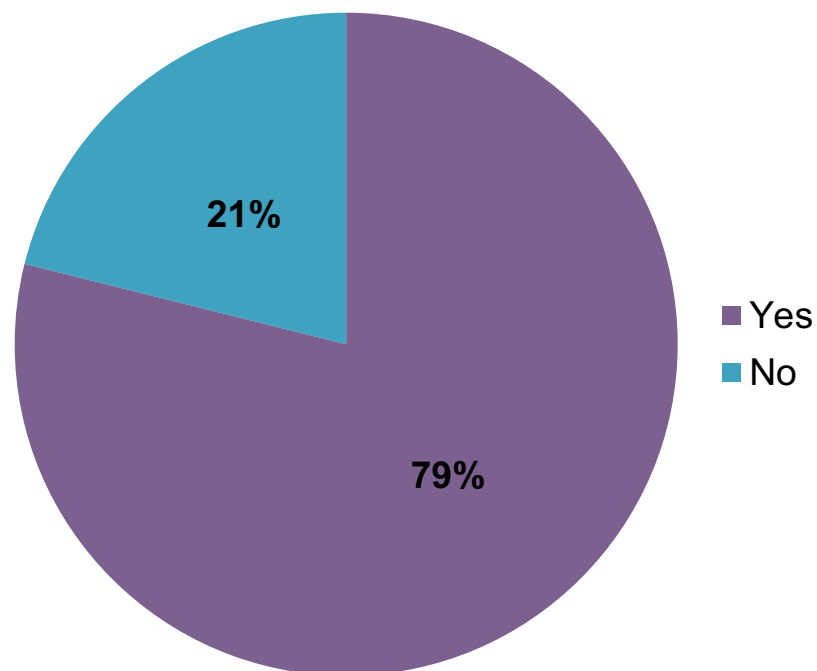
What Does 'Data Governance' Cover?

In our organization, **data governance specifically covers the following issues** (select all that apply):

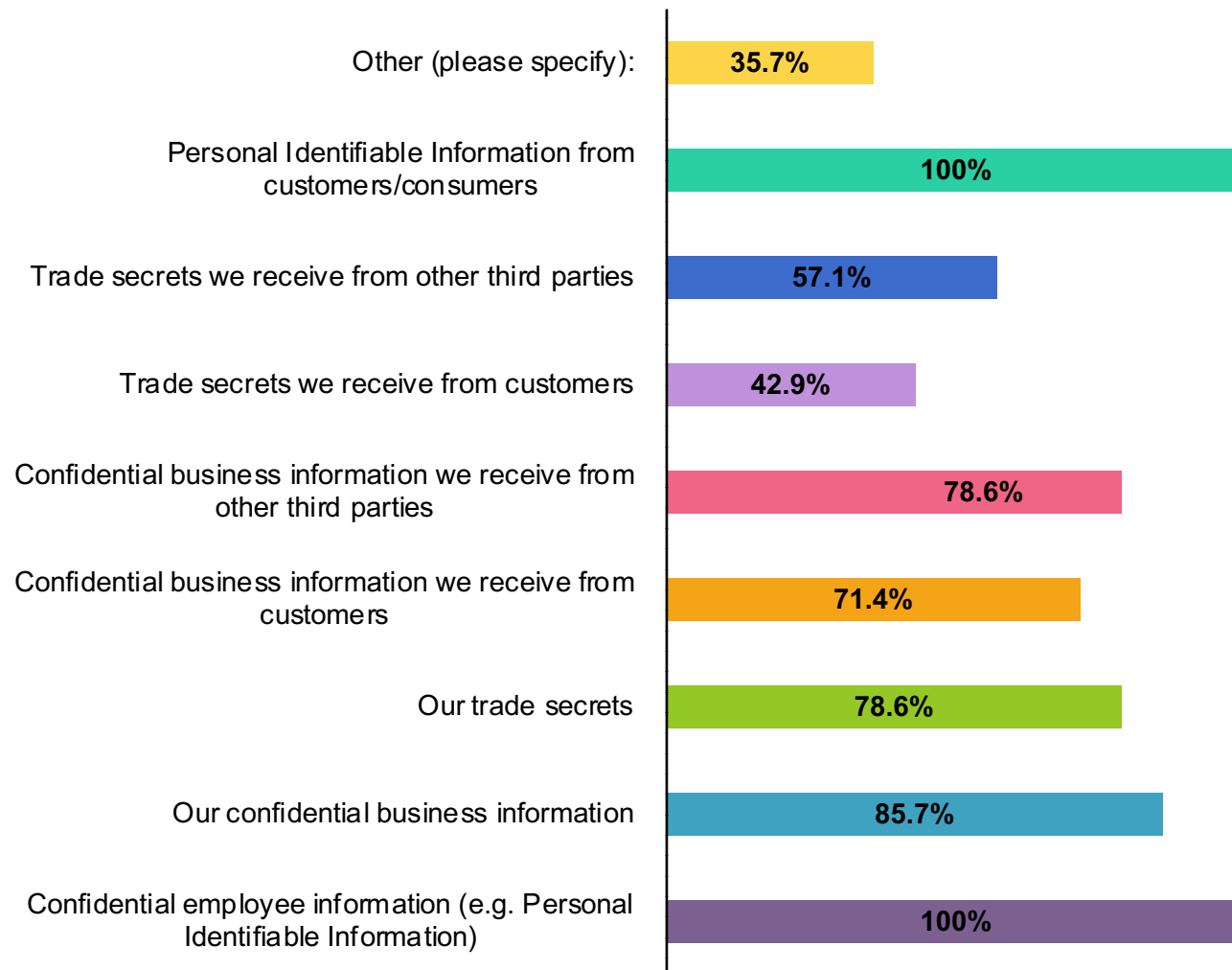


What Data Does it Cover?

Our data governance program is chartered to **provide an overall framework that governs all types of data** (employee information, customer information or other third-party information, confidential business information, etc.).

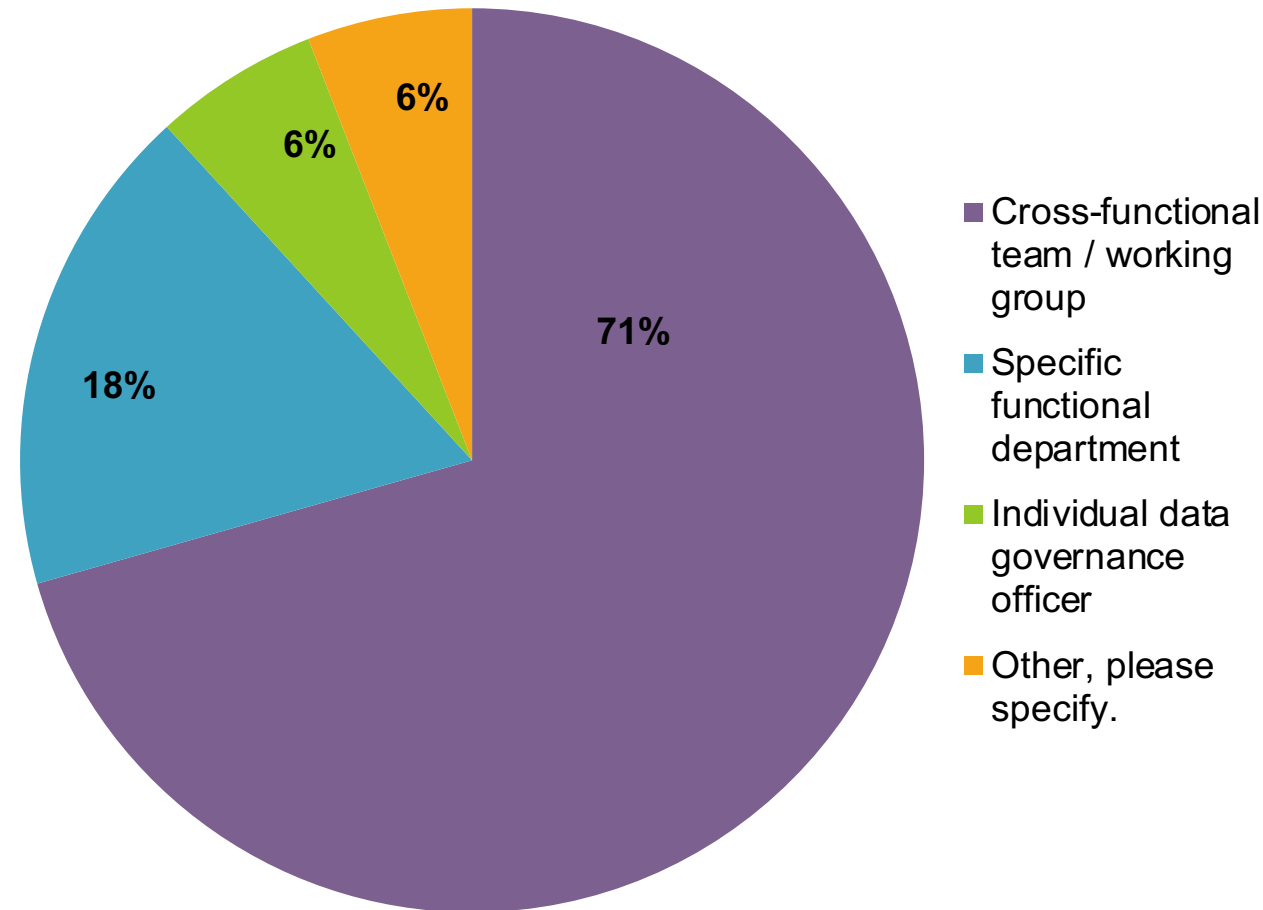


Specifically, our data governance program **covers the following types of data** (select all that apply):



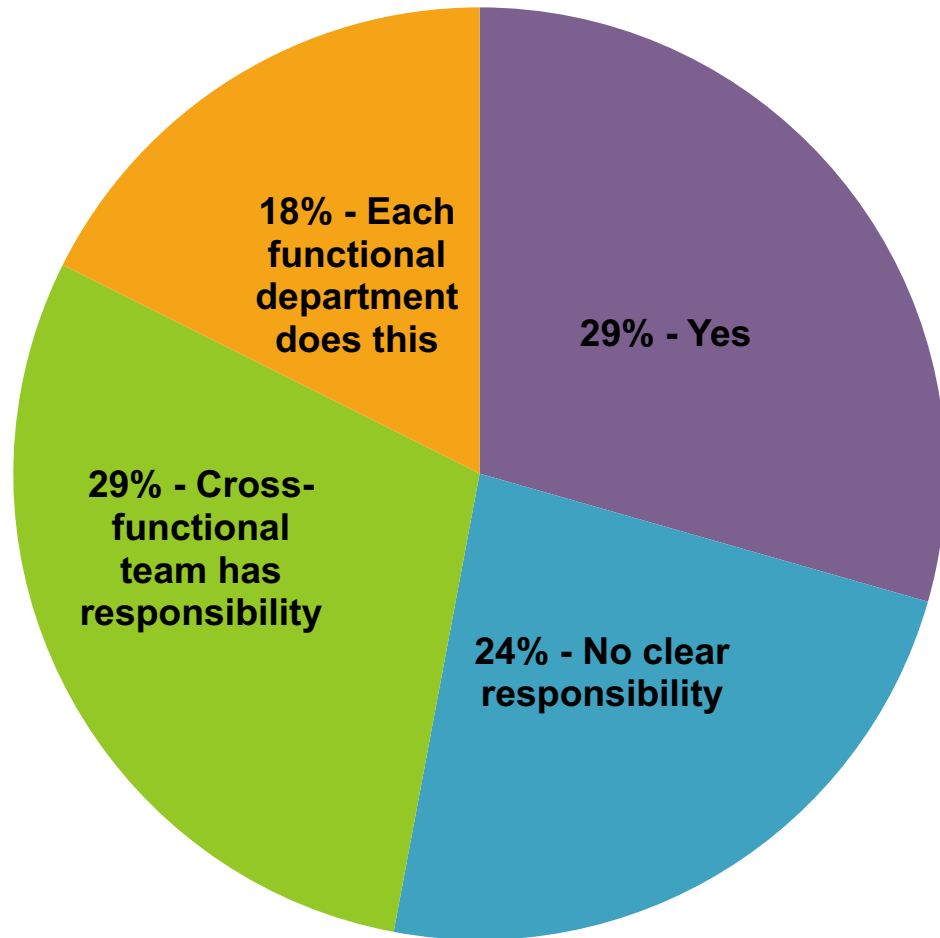
How is the 'Data Governance' Team Organized?

The following best describes how we are **organized to lead the establishment and implementation of our data governance** program.

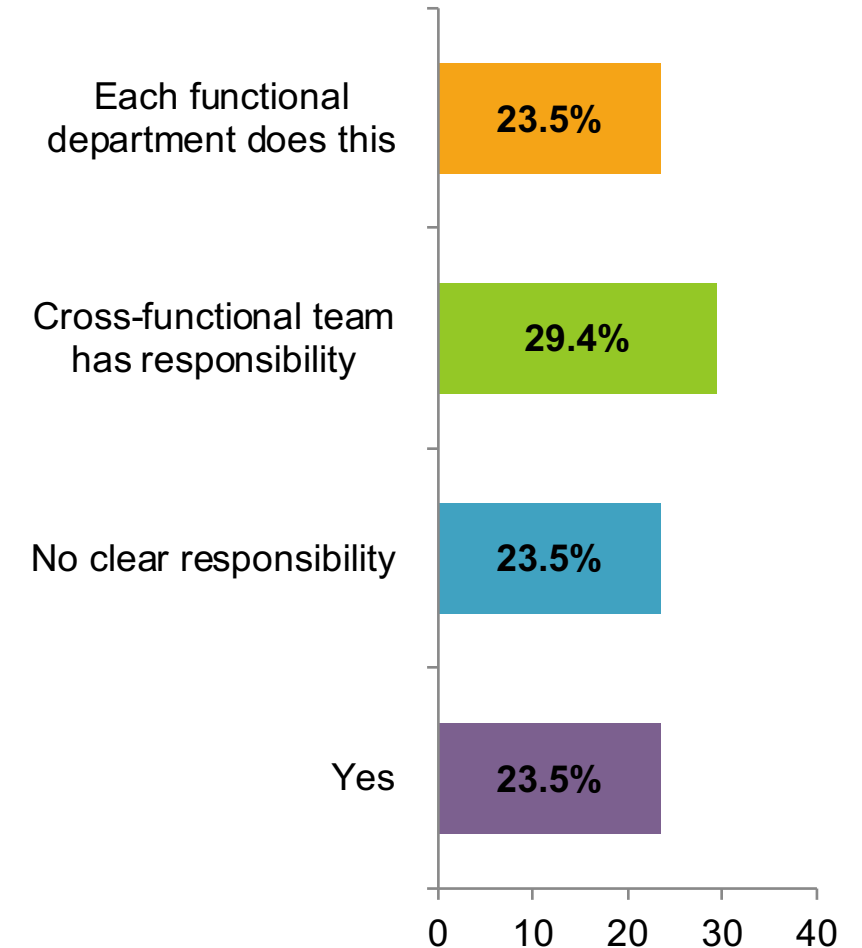


Establishing & Implementing Policies

Does one functional department have the ultimate authority and responsibility for **establishing the data governance policies**:

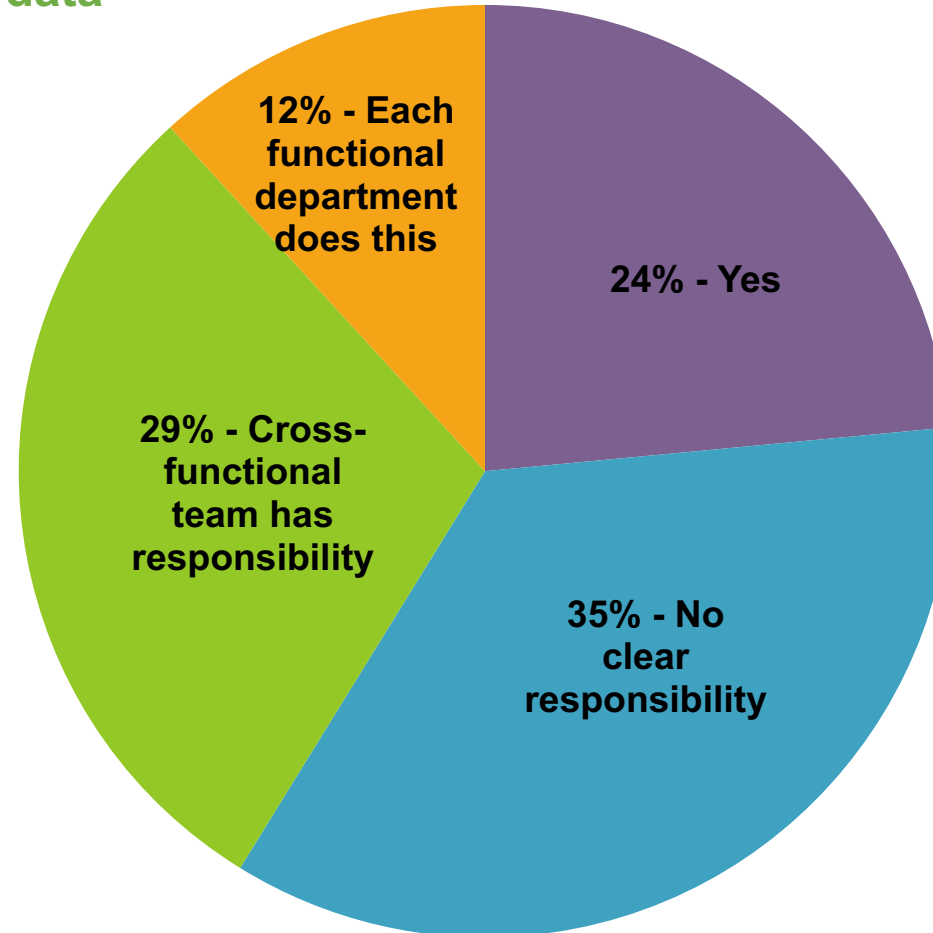


Does one functional department have the ultimate authority and responsibility for overseeing **the implementation of the data governance policies**:

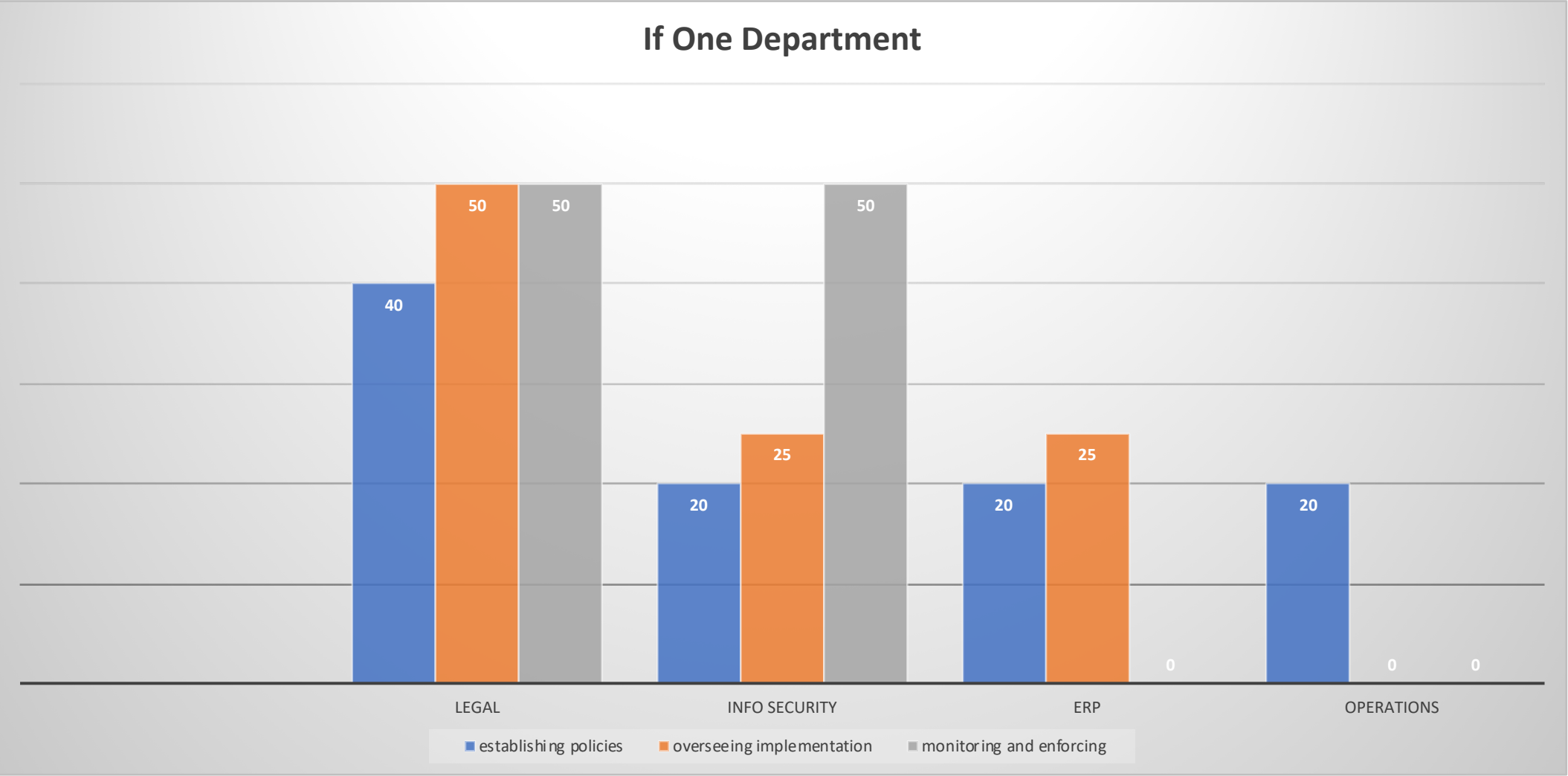


Overseeing and Monitoring Policies

Does one functional department have the ultimate authority and responsibility for **monitoring and enforcing the data governance policies**:



If One Department, Which One?

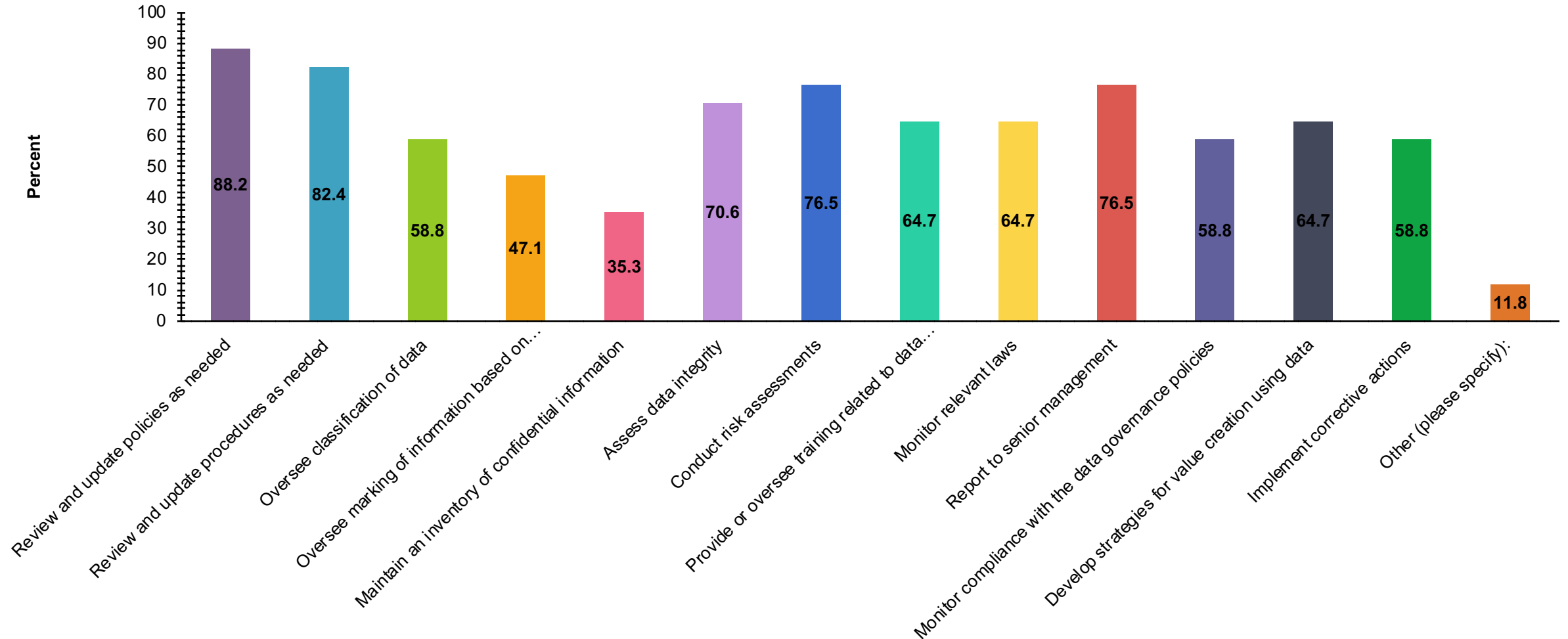




Data Governance Activities & Reporting

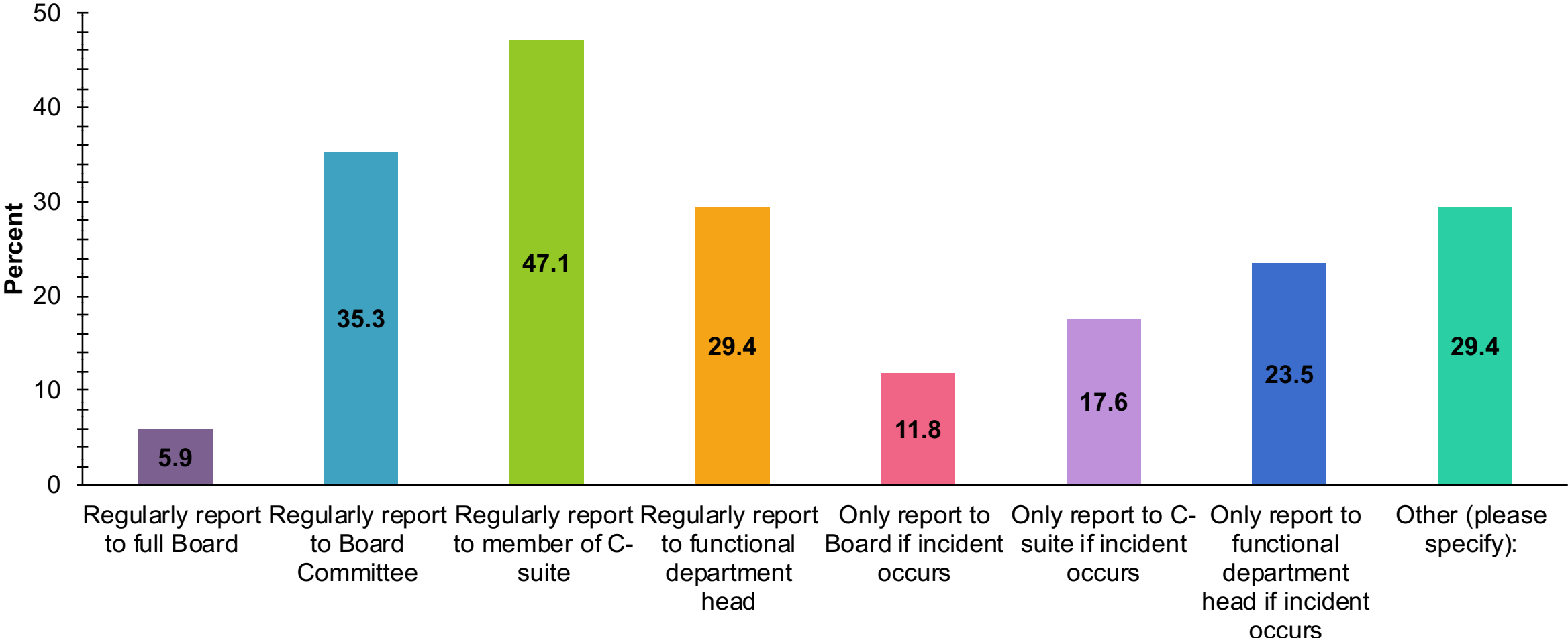
Data Governance Activities

The people or group at our company that manage data governance routinely oversee or **perform the following activities** (select all that apply):



Data Governance Reporting

The following describes how data governance is **reported to senior management and/or the Board** (please select all that apply):

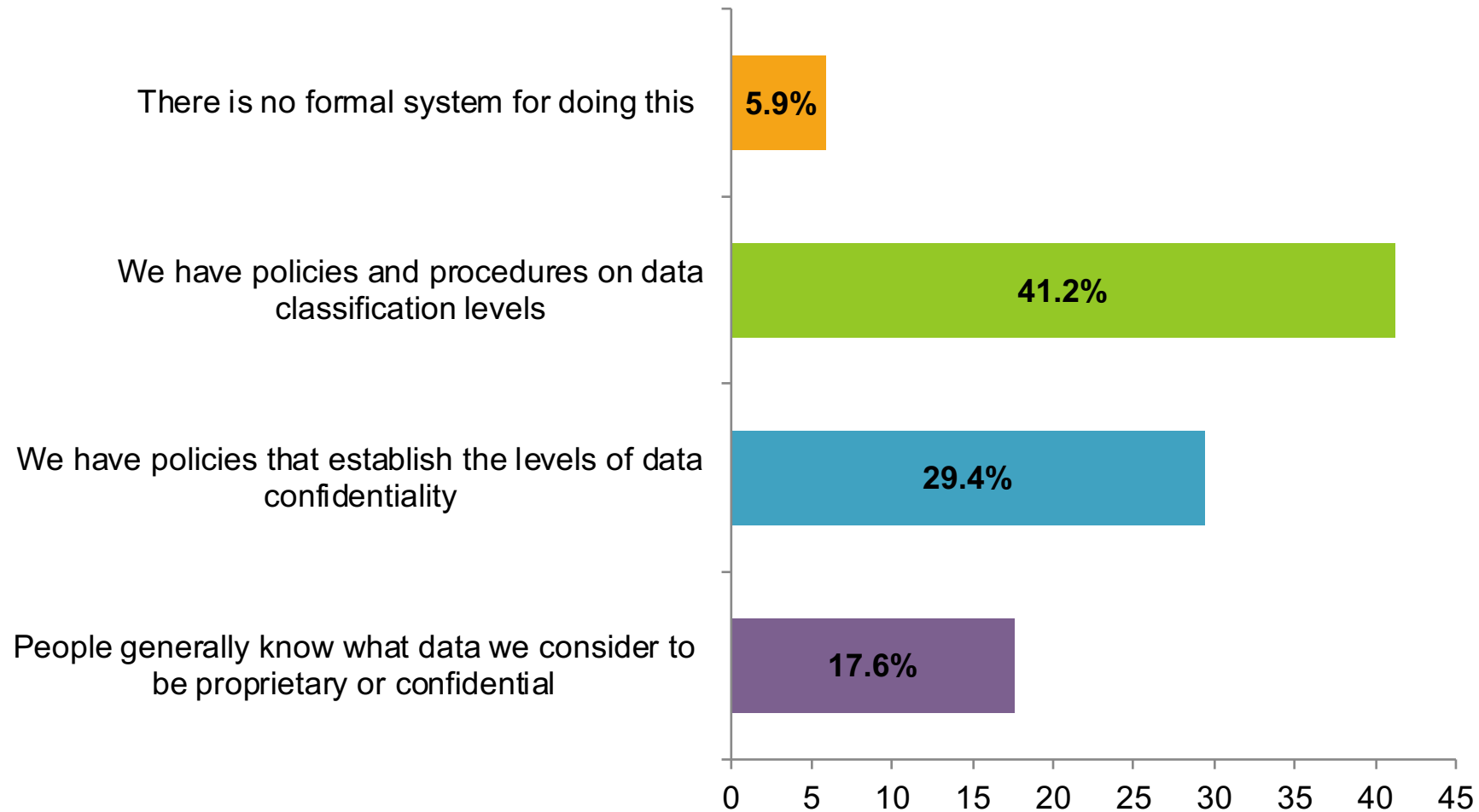




Data Classification and Risk Assessments

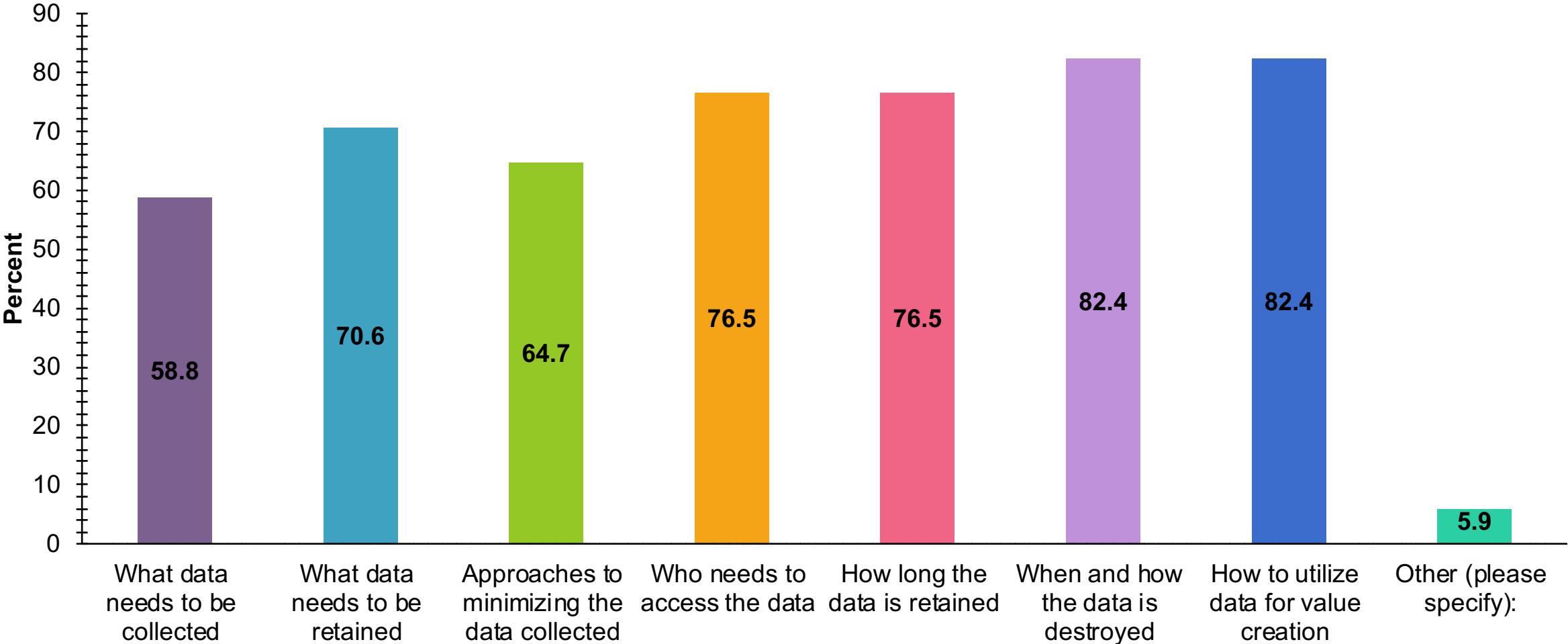
Data Classification Procedures

The following best describes our **data classification procedure**.



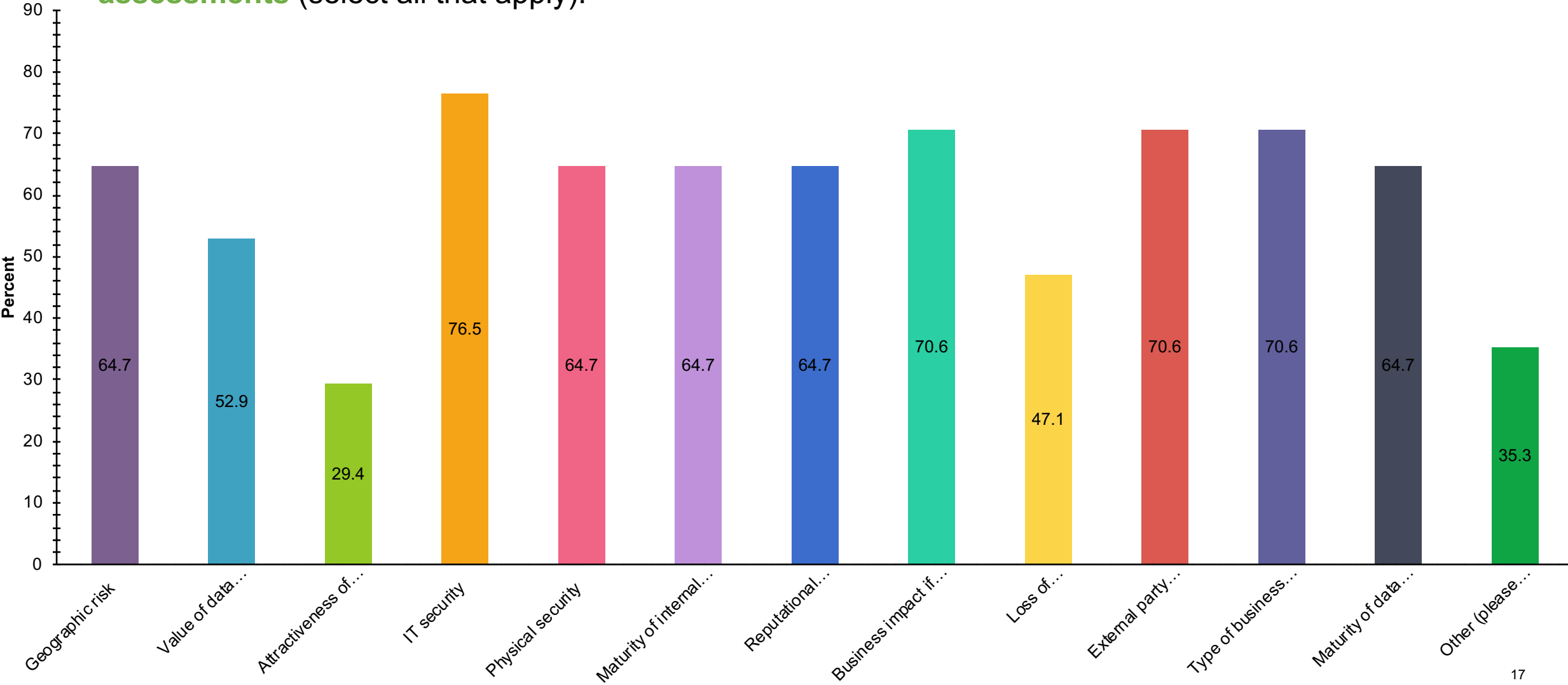
Data Governance Guideline Considerations

Our data governance program **considers and helps to establish guidelines** for (select all that apply):

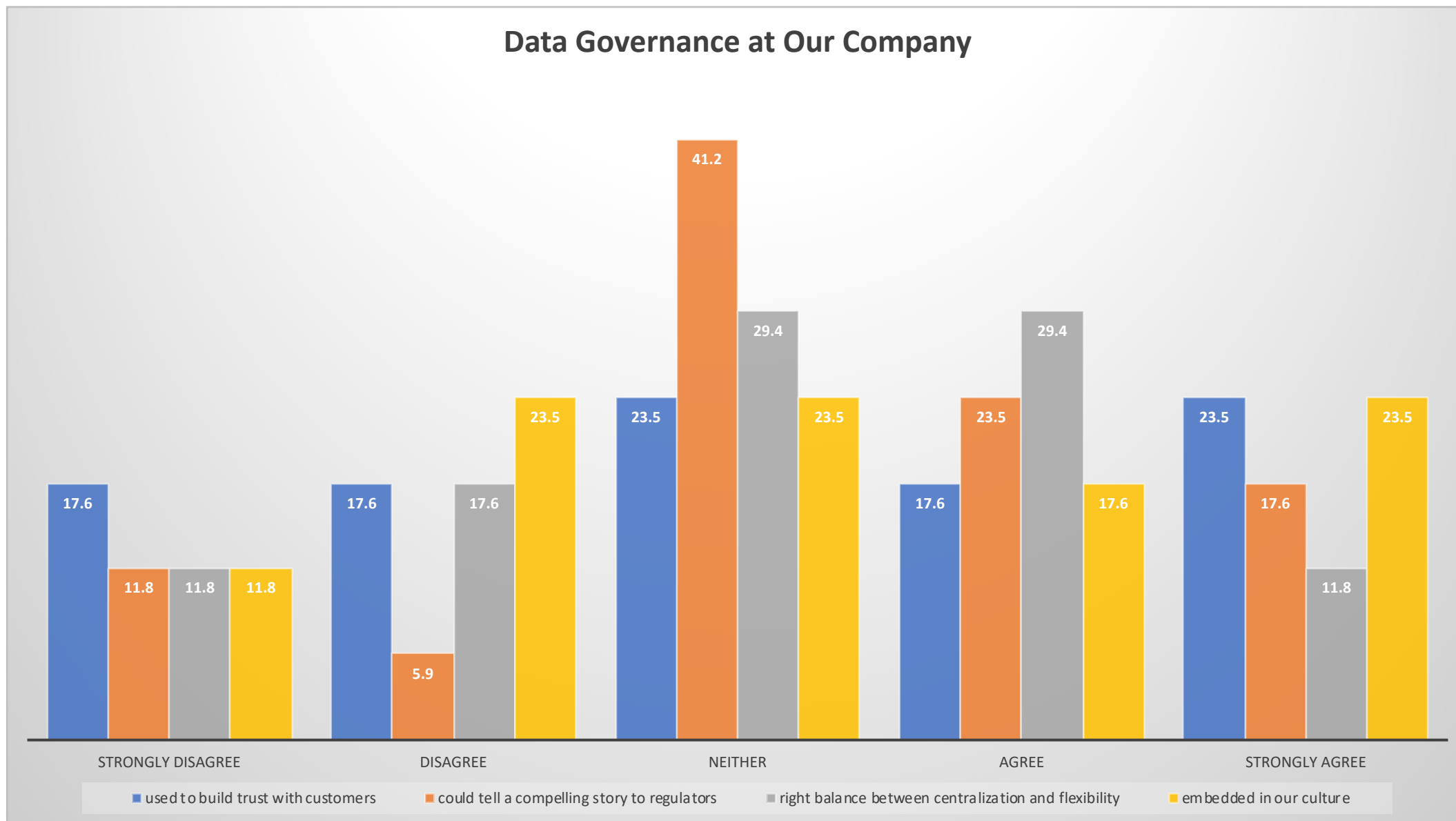


Data Governance Risk Assessment Elements

The following specific elements are taken into account in our to **data governance risk assessments** (select all that apply):



About Our Data Governance Program





Questions



TATA STEEL



PEPSICO



VOYA
FINANCIAL



usbank



JLL

DELL

WYNDHAM
WORLDWIDE

Walmart



Hilton



A Global Community Committed
to Advancing Business
Integrity. **Together.**

-
- 275+ Companies
 - Resources to Engage Global Teams and Executive Management
 - Member-Contributed Program Materials
 - Exclusive Data & Reports
 - Mentor Program
 - Global and Local Peer Events

Services to Measure and Improve Programs

Key Methodologies to Enable Improvement:

Benchmarking Against the World's Most Ethical Companies Ethics Quotient Data Set

- The Ethics Quotient survey and benchmarking against leading companies

Ethical Culture and Perceptions Assessment

- Provides an objective measurement of a company's ethical culture, compliance programs, policies and procedures, and more.

Ethics and Compliance Program Maturity Index

- Subset of Ethisphere's Ethics Quotient survey ready to deploy to measure critical ethics and compliance program elements across business units or with key third parties.

Additional Program Improvement Methodologies:

Anti-Corruption Assessments

- Leading international guidance
- ISO 37001 Anti-Bribery Management Systems Standard

Cybersecurity Assessment

- Aligns to NIST Cybersecurity Framework and its 98 controls

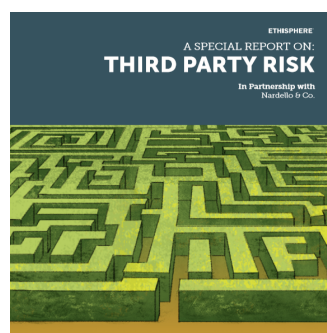
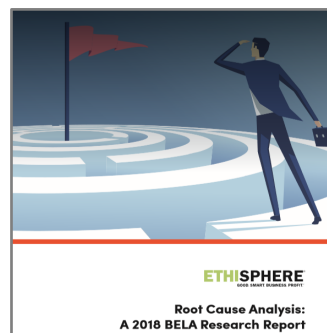
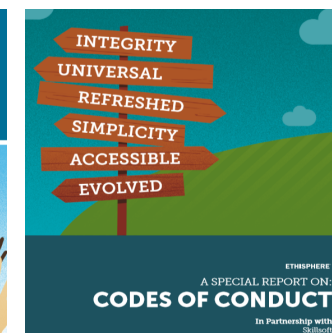
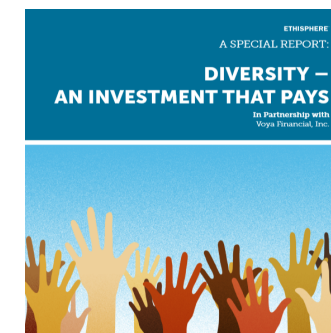
Trade Secret and Intellectual Property Protection Assessment

- Measures the maturity of controls in place

BELA Special Reports to Examine Leading Practices and Insights

Member Companies Contributed Thought Leadership to **Special Reports** :

- *Digital Innovation*
- *Root Cause*
- *Third Party Risk*
- *Diversity – An Investment That Pays*
- *Latin America*
- *Risk Management as a Team Sport*
- *Codes of Conduct*



3M

Dell

Microsoft

ABB

Eli Lilly

Millicom

Accenture

Granite
Construction

Nokia

Arm

Johnson
Controls

ON
Semiconductor

Boeing

Kellogg
Company

Voya

CSAA

L'Oréal

Walmart

BELA Member Company Contributions
to Ethisphere Special Reports



Thank You

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