



# **BELA Focused Facts: Risk Topic Policy Coverage**

**A BELA Focused Fact Report**

**Prepared for The BELA Community // May 2019**

# Contents

- WMEC Benchmark Data Set
- WMEC Ethics Quotient™ Survey Results

# ***World's Most Ethical Companies as Benchmark Data Set***

This Report contains data points from Ethisphere's 2019 World's Most Ethical Companies ("WMEC") data set. This data set provides insights into the programs and practices of leading companies from around the world. The dataset referenced through the Report ("WME Honorees") is comprised of 128 companies.

The Benchmark data set is presented to provide a comparative view into the practices of leading companies. For the full list of WMEC companies visit: <http://worldsmostethicalcompanies.ethisphere.com/honorees>

## Written Standards

EQ 4C.6 Indicate how, if at all, each of the risk topics below are addressed across your company's various written standards. ALL Honorees

Topics	Risk topic is addressed in a global policy	Risk topic is addressed in a regional- or unit-specific policy	Risk topic is addressed in a procedure or process document	Risk topic is addressed in standalone employee guidance
Anti-corruption/bribery	94%	21%	41%	33%
Antitrust/competition	78%	14%	27%	22%
Conflicts of interest	88%	20%	39%	34%
Data privacy (e.g., employees, customers and/or consumers)	95%	29%	45%	40%
Equal employment opportunity/diversity/discrimination	91%	28%	26%	28%
Financial integrity and fraud	89%	17%	29%	23%
Gifts and entertainment	92%	32%	39%	41%
Insider trading	78%	17%	29%	22%
Intellectual property	82%	13%	24%	23%
Social media	84%	17%	20%	27%
Workplace harassment (e.g., sexual harassment)	88%	34%	23%	28%
Workplace health and safety	91%	31%	37%	37%

## Written Standards

EQ 4C.6 Indicate how, if at all, each of the risk topics below are addressed across your company's various written standards. Financial Services Honorees (n=16)

Topics	Risk topic is addressed in a global policy	Risk topic is addressed in a regional- or unit-specific policy	Risk topic is addressed in a procedure or process document	Risk topic is addressed in standalone employee guidance
Anti-corruption/bribery	94%	19%	25%	31%
Antitrust/competition	75%	6%	19%	6%
Conflicts of interest	81%	38%	25%	25%
Data privacy (e.g., employees, customers and/or consumers)	100%	19%	31%	38%
Equal employment opportunity/diversity/discrimination	94%	19%	19%	19%
Financial integrity and fraud	94%	19%	25%	25%
Gifts and entertainment	94%	50%	25%	25%
Insider trading	81%	44%	25%	19%
Intellectual property	75%	0%	6%	19%
Social media	94%	19%	25%	19%
Workplace harassment (e.g., sexual harassment)	94%	13%	19%	25%
Workplace health and safety	88%	19%	19%	31%

## Written Standards

EQ 4C.6 Indicate how, if at all, each of the risk topics below are addressed across your company's various written standards. Insurance (non-Healthcare) Honorees (n=10)

Topics	Risk topic is addressed in a global policy	Risk topic is addressed in a regional- or unit-specific policy	Risk topic is addressed in a procedure or process document	Risk topic is addressed in standalone employee guidance
Anti-corruption/bribery	100%	10%	20%	20%
Antitrust/competition	90%	0%	20%	10%
Conflicts of interest	90%	30%	30%	20%
Data privacy (e.g., employees, customers and/or consumers)	90%	30%	30%	30%
Equal employment opportunity/diversity/discrimination	90%	30%	20%	30%
Financial integrity and fraud	80%	40%	30%	30%
Gifts and entertainment	90%	60%	30%	30%
Insider trading	100%	40%	20%	30%
Intellectual property	70%	10%	10%	20%
Social media	90%	30%	20%	20%
Workplace harassment (e.g., sexual harassment)	90%	20%	30%	20%
Workplace health and safety	80%	30%	20%	30%





# BELA Focused Fact Request

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