BELA Focused Facts:
Misconduct Investigation Reporting

A BELA Focused Fact Report

Prepared for the BELA Community // May 29, 2019

Contents

- WMEC Benchmark Data Set

- WMEC Ethics Quotient™ Survey Results

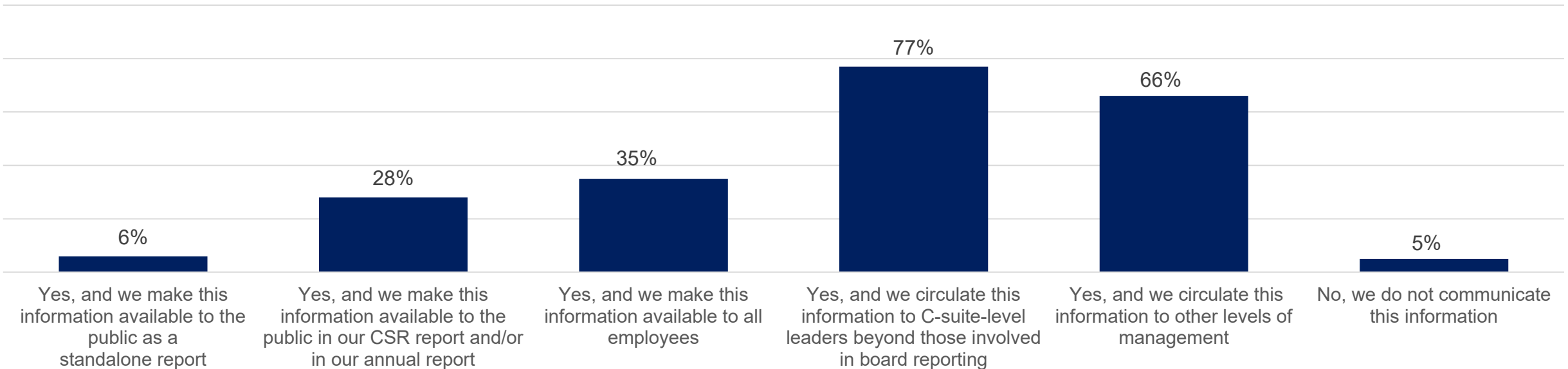# *World's Most Ethical Companies as Benchmark Data Set*

This Report contains data points from Ethisphere's 2019 World's Most Ethical Companies ("WMEC") data set. This data set provides insights into the programs and practices of leading companies from around the world. The dataset referenced through the Report ("WME Honorees") is comprised of 128 companies.

The Benchmark data set is presented to provide a comparative view into the practices of leading companies. For the full list of WMEC companies visit: http://worldsmostethicalcompanies.ethisphere.com/honorees
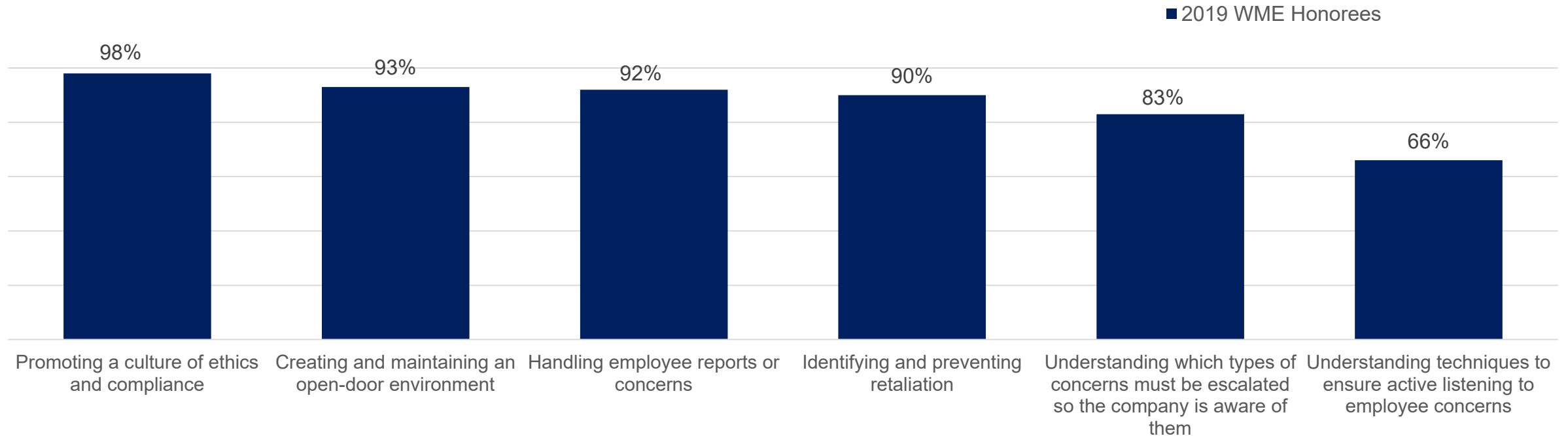
Detection, Monitoring, and Auditing

EQ 4E.6 Separate from reports to the Board or other governing authority, does your organization communicate how many concerns were reported, the types of concerns reported, and the results of reports and investigations? (Multiple select)

■ 2019 WME Honorees

6%	Yes, and we make this information available to the public as a standalone report
28%	Yes, and we make this information available to the public in our CSR report and/or in our annual report
35%	Yes, and we make this information available to all employees
77%	Yes, and we circulate this information to C-suite-level leaders beyond those involved in board reporting
66%	Yes, and we circulate this information to other levels of management
5%	No, we do not communicate this information

Ethics and Compliance Training, Awareness and Communication

EQ 4D.12 Topics that are addressed manager training. (Multiple select)

■ 2019 WME Honorees

98%	93%	92%	90%	83%	66%
Promoting a culture of ethics and compliance	Creating and maintaining an open-door environment	Handling employee reports or concerns	Identifying and preventing retaliation	Understanding which types of concerns must be escalated so the company is aware of them	Understanding techniques to ensure active listening to employee concerns

N=125 Honorees that deploy manager-specific training

BELA Focused Fact Request

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